PTO/SB/21 (08-03) Approved for use through 08/30/03. OMB 0651-0031 0 2003 Please type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PENEL **Application Number** 10/631,228 TRANSMITTAL Filing Date July 31, 2003 **FORM First Named Inventor** Jaya Pathak (to be used for all correspondence after initial filing) **Group Art Unit** Unassigned **Examiner Name** Unassigned Total Number of Pages in This Submission 13 **Attorney Docket Number** 50623.251 (excluding references) ENCLOSURES (check all that apply) Deposit Account 07-1850 After Allowance Communication to Assignment Papers Group Authorization (for an Application) Appeal Communication to Board of Drawing(s) In/Formal_ Sheets with Postage Paid Return Postcard Submission of Drawings Transmittal Appeals and Interferences Issue Fee Transmittal with PTO-85b Appeal Communication to Group Amendment / Response (Appeal Notice, Brief, Reply Brief) (in duplicate) Amendment Transmittal Letter (in Request for Continued Examination Proprietary Information Transmittal (RCE) duplicate) Fee Transmittal Form (in duplicate) Request for Status of Application Affidavits/declaration(s) Power of Attorney, Revocation Other Enclosure(s) Petition for Extension of Time (Change of Correspondence Address (please identify below): months) (in duplicate) Terminal Disclaimer Information Disclosure Statement (in duplicate) with Form PTO-1449 Request for Refund citing 207 References Express Mail Label No. CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Squire, Sanders & Dempsey L.L.P. Paul J. Meyer, Jr., Reg. No. 47,791 Individual name Signature Date November

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: November 7, 2003

Date

November 7, 2003

Typed or printed name | Judi Stillwell

Signature

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CERTIFICATE OF MAILING



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Unassigned

Jaya Pathak et al.

Serial No.

10/631,228

Art Unit:

Unassigned

Filed:

July 31, 2003

Title:

METHOD AND SYSTEM OF PURIFYING POLYMERS FOR USE

WITH IMPLANTABLE MEDICAL DEVICES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. Since this patent application was filed after June 30, 2003, Applicants have not provided copies of the cited U.S. patents or the U.S. Patent Application Publications. Copies of the cited foreign patent documents and non-patent literature have been submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: November /, 200

SQUIRE, SANDERS & DEMPSEY L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Telephone (415) 954-0200 Facsimile (415) 393-9887 Respectfully submitted,

Paul J. Meyer, Jr.
Attorney for Applicants

Reg. No. 47,791

FORM PTO-1449 (Modified)

US DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002

US Patent and Trademark Office

Docket No. Application No. 50623.251 10/631,228

Jaya Pathak

INFORMATION DISCLOSURE CITATION

Applicant

in an Application
(Use several sheets if necessary)

Filing Date

Group Art Unit

July 31, 2003

Unassigned

E.	35		U.S. PAT	ENT DOCUMENTS			
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	4,329,383	5/11/82	Joh	428	36	
	A2	4,733,665	3/29/88	Palmaz	128	343	
	А3	4,800,882	1/31/89	Gianturco	128	343	
	A4	4,882,168	11/21/89	Casey et al.	424	468	
•	A5	4,886,062	12/12/89	Wiktor	128	343	
	A6	4,941,870	7/17/90	Okada et al.	600	36	
	A7	4,977,901	12/18/90	Ofstead	128	772	
•	A8	4,990,222	2/5/91	Aigner et al.	203	91	
	A9	5,112,457	5/12/92	Marchant	204	165	
	A10	5,165,919	11/24/92	Sasaki et al.	424	488	
	A11	5,272,012	12/21/93	Opolski	428	423.1	
	A12	5,292,516	3/8/94	Viegas et al.	424	423	
	A13	5,298,260	3/29/94	Viegas et al.	424	486	
-	A14	5,300,295	4/5/94	Viegas et al.	424	427	
	A15	5,306,501	4/26/94	Viegas et al.	424	423	
7.3	A16	5,328,471	7/12/94	Slepian	604	101	
	A17	5,330,768	7/19/94	Park et al.	424	501	
	A18	5,380,299	1/10/95	Fearnot et al.	604	265	
	A19	5,415,473	5/16/95	Nakano et al.	366	83	
	A20	5,417,981	5/23/95	Endo et al.	424	486	
	A21	5,447,724	9/5/95	Helmus et al.	424	426	
	A22	5,455,040	10/3/95	Marchant	424	426	
	A23	5,462,990	10/31/95	Hubbell et al.	525	54.1	
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FORM PTO-1449 (Modified)

US DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002

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Jaya Pathak

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Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A24	5,464,650	11/7/95	Berg et al.	427	2.30	
	A25	5,569,463	10/29/96	Helmus et al.	424	426	
•	A26	5,578,073	11/26/96	Haimovich et al.	623	1	
	A27	5,597,235	1/28/97	Barnes et al.	366	76.6	
•	A28	5,605,696	2/25/97	Eury et al.	424	423	
	A29	5,609,629	3/11/97	Fearnot et al.	623	1	
	A30	5,624,411	4/29/97	Tuch	604	265	
•	A31	5,628,730	5/13/97	Shapland et al.	604	21	
	A32	5,649,977	7/22/97	Campbell	623	1	
	A33	5,658,995	8/19/97	Kohn et al.	525	432	
	A34	5,667,767	9/16/97	Greff et al.	424	9.411	
,	A35	5,670,558	9/23/97	Onishi et al.	523	112	
	A36	5,679,400	10/21/97	Tuch	427	2.14	
	A37	5,700,286	12/23/97	Tartaglia et al.	623	1	
	A38	5,702,754	12/30/97	Zhong	427	2.12	
	A39	5,716,981	2/10/98	Hunter et al.	514	449	-
	A40	5,735,897	4/7/98	Buirge	623	12	
	A41	5,746,998	5/5/98	Torchilin et al.	424	9.4	
	A42	5,776,184	7/7/98	Tuch	623	1	
	A43	5,788,979	8/4/98	Alt et al.	424	426	
	A44	5,800,392	9/1/98	Racchini	604	96	
	A45	5,820,917	10/13/98	Tuch	427	2.1	
EXAMINER				DATE CONSIDERED			

FORM RTO-1449 (Modified)
Approved for use through 10/31/2002

US DEPARTMENT OF COMMERCE

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Application No. Docket No. 10/631,228 50623.251

INFORMATION DISCLOSURE CITATION in an Application

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Group Art Unit Filing Date

Unassigned July 31, 2003

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
-	A46	5,824,048	10/20/98	Tuch	623	1	
<u> </u>	A47	5,824,049	10/20/98	Ragheb et al.	623	1	
•	A48	5,830,178	11/3/98	Jones et al.	604	49	
	A49	5,837,008	11/17/98	Berg et al.	623	1	<u> </u>
-	A50	5,837,313	11/17/98	Ding et al.	427	2.21	
	A51	5,851,508	12/22/98	Greff et al.	424	9.411	
	A52	5,858,746	1/12/99	Hubbell et al.	435	177	
	A53	5,865,814	2/2/99	Tuch	604	265	·
	A54	5,869,127	2/9/99	Zhong	427	2.12	
	A55	5,873,904	2/23/99	Ragheb et al.	623	1	
	A56	5,876,433	3/2/99	Lunn	623	1	
	A57	5,877,224	3/2/99	Brocchini et al.	514	772.2	
	A58	5,925,720	7/20/99	Kataoka et al.	525	523	
	A59	5,955,509	9/21/99	Webber et al.	514	772.7	
	A60	5,971,954	10/26/99	Conway et al.	604	96	
	A61	5,980,928	11/9/99	Terry	424	427	
	A62	5,980,972	11/9/99	Ding	427	2.24	
	A63	5,997,517	12/7/99	Whitbourne	604	265	
	A64	6,010,530	1/4/00	Goicoechea	623	1	
	A65	6,015,541	1/18/00	Greff et al.	424	1.25	
	A66	6,033,582	3/7/00	Lee et al.	216	37	
	A67	6,042,875	3/28/00	Ding et al.	427	2.24	
	A68	6,051,576	4/18/00	Ashton et al.	514	255	



FORM PPO-1449 (Modified)	
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US DEPARTMENT OF COMMERCE

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Docket No. Application No. 10/631,228
Applicant

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Jaya Pathak

Filing Date Group Art Unit

July 31, 2003 Unassigned

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A69	6,051,648	4/18/00	Rhee et al.	525	54.1	
	A70	6,056,993	5/2/00	Leidner et al.	427	2.25	<u>.</u>
•	A71	6,060,451	5/9/00	DiMaio et al.	514	13	
	A72	6,060,518	5/9/00	Kabanov et al.	514	781	
•	A73	6,080,488	6/27/00	Hostettler et al.	428	423.3	
	A74	6,096,070	8/1/00	Ragheb et al.	623	1	
	A75	6,099,562	8/8/00	Ding et al.	623	1.46	
	A76	6,110,188	8/29/00	Narciso, Jr.	606	153	
	A77	6,110,483	8/29/00	Whitbourne et al.	424	423	
•	A78	6,113,629	9/5/00	Ken	623	1.1	
	A79	6,120,536	9/19/00	Ding et al.	623	1.43	
	A80	6,120,904	9/19/00	Hostettler et al.	428	423.3	
	A81	6,121,027	9/19/00	Clapper et al.	435	180	
	A82	6,129,761	10/10/00	Hubbell	623	11	
	A83	6,153,252	11/28/00	Hossainy et al.	427	2.3	
	A84	6,165,212	12/26/00	Dereume et al.	623	1.13	
	A85	6,203,551	3/20/01	Wu	606	108	
	A86	6,231,600	5/15/01	Zhong	623	1.42	
	A87	6,240,616	6/5/01	Yan	29	527.2	
	A88	6,245,753	6/12/01	Byun et al.	514	56	
	A89	6,251,136	6/26/01	Guruwaiya et al.	623	1.46	
	A90	6,254,632	7/3/01	Wu et al.	623	1.15	



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US DEPARTMENT OF COMMERCE

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Docket No. Application No. 10/631,228
Applicant

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Jaya Pathak

Filing Date Group Art Unit

July 31, 2003 Unassigned
U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date Appropriate
	A91	6,258,121	7/10/01	Yang et al.	623	1.46	
	A92	6,283,947	9/4/01	Mirzaee	604	264	
	A93	6,283,949	9/4/01	Roorda	604	288.02	
-	A94	6,284,305	9/4/01	Ding et al.	427	2.28	
	A95	6,287,628	9/11/01	Hossainy et al.	427	2.3	
	A96	6,299,604	10/9/01	Ragheb et al.	604	265	
	A97	6,306,176	10/23/01	Whitbourne	623	23.59	
	A98	6,331,313	12/18/01	Wong et al.	. 424	427	·
•	A99	6,335,029	1/1/02	Kamath et al.	424	423	
	A100	6,346,110	2/12/02	Wu	606	108	
	A101	6,358,556	3/19/02	Ding et al.	427	2.24	
	A102	6,379,381	4/30/02	Hossainy et al.	623	1.42	
	A103	6,395,326	5/28/02	Castro et al.	427	2.24	
	A104	6,419,692	7/16/02	Yang et al.	623	1.15	
•	A105	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/00
	A106	6,494,862	12/17/02	Ray et al.	604	96.01	12/30/9
	A107	6,503,556	1/7/03	Harish et al.	427	2.24	12/28/0
	A108	6,503,954	1/7/03	Bhat et al.	514	772.2	7/21/00
	A109	6,506,437	1/14/03	Harish et al.	427	2.25	10/17/00
	A110	6,527,801	3/4/03	Dutta	623	1.46	4/13/00

FORM PTO-1449 (Modified) Approved for 188e through 10/31/2002

US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Docket No. Application No. 10/631,228

INFORMATION DISCLOSURE CITATION in an Application

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Applicant Jaya Pathak

Filing Date Group Art Unit

July 31, 2003 Unassigned

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A111	6,527,863	3/4/03	Pacetti et al.	118	500	6/29/01
	A112	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/28/00
•	A113	6,544,223	4/8/03	Kokish	604	103.01	1/5/01
	A114	6,544,543	4/8/03	Mandrusov et al.	424	422	12/27/00
	A115	6,544,582	4/8/03	Yoe	427	2.24	1/5/01
	A116	6,555,157	4/29/03	Hossainy	427	2.24	7/25/00
•	A117	6,558,733	5/6/03	Hossainy et al.	427	2.24	10/26/00
	A118	6,565,659	5/20/03	Pacetti et al.	118	500	6/28/01
	A119	6,572,644	6/3/03	Moein	623	1.11	6/27/01
	A120	6,585,765	7/1/03	Hossainy et al.	623	1.45	6/29/00
	A121	6,585,926	7/1/03	Mirzaee	264	400	8/31/00
	A122	6,605,154	8/12/03	Villareal	118	500	5/31/01
		U.S. PATE	NT APPLICAT	ION PUBLICATION DOCUM	ENTS		
Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A123	2001/0018469	8/30/01	Chen et al.	523	121	
	A124	2001/0037145	11/1/01	Guruwaiya et al.	623	1.15	
***	A125	2002/0077693	6/20/02	Barclay et al.	623	1.13	
	A126	2002/0091433	7/11/02	Ding et al.	623	1.2	
	A127	2002/0155212	10/24/02	Hossainy	427	2.25	4/24/01
	A128	2003/0065377	4/3/03	Davila et al.	623	1.13	4/30/02
	A129	2003/0099712	5/29/03	Jayaraman	424	486	11/26/01
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US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Application No. Docket No. 10/631,228 50623.251

INFORMATION DISCLOSURE CITATION in an Application

(Use several sheets if necessary)

Applicant Jaya Pathak

Group Art Unit Filing Date

Unassigned July 31, 2003

Examiner	Ref. No.	Document	Date of	Country Class	Subclass	Translation		
Initial		Number	Publication				Yes	No
	B1	EP 0 301 856	2/1/89	European				
	B2	EP 0 514 406	11/25/92	European				
•	B3	EP 0 604 022	6/29/94	European				
	B4	EP 0 623 354	11/9/94	European				
•	B5	EP 0 665 023	8/2/95	European	·			
	B6	EP 0 701 802	3/20/96	European				
	B7	EP 0 716 836	6/19/96	European				
•	В8	EP 0 809 999	12/3/97	European				
_	В9	EP 0 832 655	4/1/98	European				
<u>-</u>	B10	EP 0 850 651	7/1/98	European				
	B11	EP 0 879 595	11/25/98	European				
	B12	EP 0 910 584	4/28/99	European				
• • • • • • • • • • • • • • • • • • • •	B13	EP 0 923 953	6/23/99	European				
	B14	EP 0 953 320	11/3/99	European	_			
	B15	EP 0 970 711	1/12/00	European				
	B16	EP 0 982 041	3/1/00	European				
	B17	EP 1 273 314	1/8/03	European				
	B18	2001-190687	7/17/01	Japan (Abstract)			Х	
	B19	WO 91/12846	9/5/91	PCT				
	B20	WO 95/10989	4/27/95	PCT				
	B21	WO 96/40174	12/19/96	PCT				
	B22	WO 97/10011	3/20/97	PCT				

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US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Docket No. Application No. 50623.251 10/631,228

INFORMATION DISCLOSURE CITATION in an Application

(Use several sheets if necessary)

Jaya Pathak

Filing Date
July 31, 2003

Applicant

Unassigned

Group Art Unit

Examiner	Ref. No.	Document	Date of Country Publication	Country	Class	Subclass	Trans	slation
Initial		Number					Yes	No
	B23	WO 97/45105	12/4/97	PCT				
	B24	WO 97/46590	12/11/97	РСТ				
•	B25	WO 98/17331	4/30/98	PCT				
	B26	WO 98/36784	8/27/98	PCT				
	B27	WO 99/01118	1/14/99	PCT				
•	B28	WO 99/38546	8/5/99	PCT				
	B29	WO 99/63981	12/16/99	PCT				
<u></u>	B30	WO 00/02599	1/20/00	PCT				
•	B31	WO 00/12147	3/9/00	PCT				
	B32	WO 00/18446	4/6/00	PCT				
	B33	WO 00/64506	11/2/00	PCT				
	B34	WO 01/01890	1/11/01	PCT				
	B35	WO 01/15751	3/8/01	PCT				
	B36	WO 01/17577	3/15/01	PCT				
	B37	WO 01/45763	6/28/01	PCT		,		
	B38	WO 01/49338	7/12/01	PCT				
	B39	WO 01/74414	10/11/01	PCT				
	B40	WO 02/03890	1/17/02	PCT				
	B41	WO 02/026162	4/4/02	PCT				
	B42	WO 02/34311	5/2/02	PCT				
	B43	WO 02/056790	7/25/02	PCT				
	B44	WO 03/000308	1/3/03	PCT				
	B45	WO 03/022323	3/20/03	PCT				

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

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FORM PTO-1449 (Modified) Approved for use through 10/31/2002			US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 50623.251		Application No. 10/631,228					
		MATION DISCLO			Applicant							
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FOREIGN PATENT DOCUMENTS												
Examiner	Ref. No	Document	Date of	Country Class Subclass				Trans	lation			
Initial		Number	Publication					Yes	No			
	B46	WO 03/028780	4/10/03		PCT							
	B47	WO 03/037223	5/8/03		PCT							
•	B48	WO 03/039612	5/15/03		PCT							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)												
•	C1	Anonymous, Cardiologists Draw - Up The Dream Stent, Clinica 710:15 (June 17, 1996), http://www.dialogweb.com/cgi/document?req=1061848202959, printed 8/25/03 (2 pages).										
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FORM PTO-14		Modified) rough 10/31/2002	US DEPARTMENT OF COMMERCE US Patent and Trademark Office	Docket No. 50623.251	Application No. 10/631,228					
		MATION DISCLOS	SURE CITATION	Applicant						
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